



Atty. Dkt. No. 016887-1038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yukio HEMMI et al.
Title: NUCLEAR POWER PLANT
SYSTEM AND METHOD OF
OPERATING THE SAME
Appl. No.: 09/821,732
Filing Date: 03/30/2001
Examiner: Unassigned
Art Unit: Unassigned

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on May 31, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [X] Declaration and Power of Attorney (5 pages)
- [X] Return Copy of Notice to File Missing Parts
- [X] Petition for 4 Month Extension of Time
- [X] Verified English Translation of Application
- [X] 5 Sheets of Formal Drawings (Figs. 1-7)
- [X] Claim for Convention Priority and Certified Copies of Priority Documents
- [X] Request for Corrected Filing Receipt

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	20	- 20	= 0	x \$18.00	= \$0.00
Independents:	4	- 3	= 1	x \$84.00	= \$84.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$954.00
[] Small Entity Fees Apply (subtract ½ of above):					= \$0.00
				TOTAL FILING FEE:	= \$954.00
Fee for 4 Month Extension of Time:					= \$1,440.00
Processing Fee under 37 CFR 1.17(k) for Late Filing of English Translation of Application:				+ \$130.00	= \$130.00
				TOTAL FEE	= \$2524.00

[X] Check in the amount of \$2524.00 in payment of the above fees
(37 C.F.R. § 1.16(e))

[] Please charge Deposit Account No. 19-0741 in the amount of \$0.00
in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: November 29, 2001

By



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,732	03/30/2001	Yukio Hemmi	016887/1038

CONFIRMATION NO. 5467

FORMALITIES LETTER



OC000000006134980

Richard L. Schwaab
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, DC 20007-5109

Date Mailed: 05/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

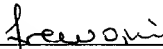
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

11/30/2001 SFEELEK1 000000084 0582173E

740.00
84.00
130.00
130.00
01 FE:101
02 FE:102
03 FE:105
04 FE:139



Atty. Dkt. No. 016887-1038

Receipt #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yukio HEMMI et al.
Title: NUCLEAR POWER PLANT
SYSTEM AND METHOD OF
OPERATING THE SAME
Appl. No.: 09/821,732
Filing Date: 03/30/2001
Examiner: Unassigned
Art Unit: Unassigned

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REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Attn: Appl. Processing Division, Customer Correction Branch

Sir:

Attached is a copy of the official Filing Receipt received from the PTO in the above-referenced application.

There is an error with respect to the title. The title should read: **NUCLEAR POWER PLANT SYSTEM AND METHOD OF OPERATING THE SAME**. A copy of the Declaration and Power of Attorney is attached, reflecting the correct title.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Date: November 29, 2001

By

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/821,732	03/30/2001		0.00	016887/1038	5	1	1

CONFIRMATION NO. 5467

FILING RECEIPT



OC000000006134979

Richard L. Schwaab
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Washington, DC 20007-5109

Date Mailed: 05/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yukio Hemmi, Yokohama-Shi, JAPAN;
Shuji Seki, Yokohama-Shi, JAPAN;
Yutaka Uruma, Kawasaki-Shi, JAPAN;
Minoru Kobayashi, Matsudo-Shi, JAPAN;
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Ichiro Inami, Tokyo-To, JAPAN;
Takao Baba, Fujisawa-Shi, JAPAN;

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GROUP 3600

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-099423 03/31/2000
JAPAN 2001-098275 03/30/2001

If Required, Foreign Filing License Granted 05/31/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Nuclear plant system and method of operating the same

Power

Preliminary Class

Data entry by : GEBRESILASSIE, FREWOINI Team : OIPE Date: 05/31/2001

1. NUCLEAR PLANT SYSTEM AND METHOD OF OPERATING THE SAME

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

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 COMMISSIONER FOR PATENTS
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 WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 5467

SERIAL NUMBER 09/821,732	FILING DATE 03/30/2001 RULE	CLASS 376	GROUP ART UNIT 3641	ATTORNEY DOCKET NO. 016887/1038
APPLICANTS Yukio Hemmi, Yokohama-Shi, JAPAN; Shuji Seki, Yokohama-Shi, JAPAN; Yutaka Uruma, Kawasaki-Shi, JAPAN; Minoru Kobayashi, Matsudo-Shi, JAPAN; Fumihiko Ishibashi, Yokohama-Shi, JAPAN; Ichiro Inami, Tokyo-To, JAPAN; Takao Baba, Fujisawa-Shi, JAPAN;				
** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** JAPAN 2000-099423 03/31/2000 JAPAN 2001-098275 03/30/2001				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/31/2001				
Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	Examiner's Signature _____ Initials _____	STATE OR COUNTRY JAPAN	SHEETS DRAWING 5	TOTAL CLAIMS 20
INDEPENDENT CLAIMS 4				
ADDRESS Richard L. Schwaab FOLEY & LARDNER Washington Harbour 3000 K Street, N.W., Suite 500 Washington, DC 20007-5109				
TITLE Nuclear power plant system and method of operating the same				
FILING FEE RECEIVED 1084	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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